



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Albert L. DONALDSON  
Serial No: 09/548,322  
Filed: April 12, 2000  
For: METHOD AND APPARATUS FOR FILTERING JUNK ELECTRONIC MESSAGES  
HAVING A WHITELIST DATABASE AND A QUARANTINING MECHANISM

GAU: 2142  
Confirmation No. 6262  
Examiner: Jason Cardone

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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DEC 28 2004

Sir:

Applicant(s) wish to disclose the following information.

**Technology Center 2100**

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references. A copy of the European Search Report is also attached.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a copy of applicant's pending application(s) or issued patent(s) which may be related to the present application. These documents are listed on form PTO-1449, also attached.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☒ Each item of information contained in this information disclosure statement was cited for the first time in any communication from a foreign patent office in any counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited for the first time in any communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.
- ☐ This Information Disclosure Statement is being filed within three months of the filing date of the subject patent application.
- ☒ This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits.

**PETITION**

- ☐ Applicant(s) hereby request consideration of the attached information. A check is attached in the amount of the Petition fee required under 37 CFR §1.17(i)(1).

**DEPOSIT ACCOUNT**

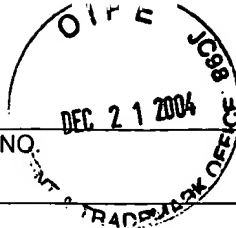
- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 23-2185. A duplicate copy of this sheet is enclosed.

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Respectfully submitted,

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Date: December 21, 2004



Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 110768-00102		SERIAL NO. 09/548,322	
<b>LIST OF REFERENCES CITED BY APPLICANT</b>				APPLICANT Albert L. DONALDSON			
				FILING DATE: April 12, 2000		GROUP 2142	
				U.S. PATENT DOCUMENTS			
EXAMINER INITIAL		DOC. NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOC. NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO				<input type="checkbox"/>	<input type="checkbox"/>	
	AP	WO 99/32985	01-01-99	PCT	<input type="checkbox"/>	<input type="checkbox"/>	
	AQ	WO 99/22317	06-05-99	PCT	<input type="checkbox"/>	<input type="checkbox"/>	
	AR				<input type="checkbox"/>	<input type="checkbox"/>	
	AS				<input type="checkbox"/>	<input type="checkbox"/>	
	AT				<input type="checkbox"/>	<input type="checkbox"/>	
	AU				<input type="checkbox"/>	<input type="checkbox"/>	
	AV				<input type="checkbox"/>	<input type="checkbox"/>	
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW	G. Lindberg, "Anti-Spam Recommendations for SMTP MTAs", Best Current Practice, Chalmers University of Technology, February 1999, Pgs. 1-24, XP-002298847					
	AX	Newsgroup Posting, Google Groups 2004, "PSI.net and lots of SPAM" Norman L. DeForest, Best Outlook Spam Filter, July 30, 1997, one page, XP-002298848					
	AY						
	AZ						
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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